ENTERPRISE TRUST, SECURITY & PROCUREM

TECHNICAL ARCHITECTURE, DATA GOVERNANCE & REGULATORY COMPLIANCE DISCLOSU

CASE FILE REFERENCE: AS-PROC-2026

CLIENT / INSTRUCTING PARTY: Enterprise Evaluation Registry

PREPARED BY: AccountScope Trust & Security Desk

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1. Security & Encryption Overview

AccountScope implements bank-grade security protocols to protect sensitive financial records. All transactional registers, PDF statements, metadata, and logs are secured under strict policies:

- Data in Transit: All data transfers between users and our systems are encrypted using Transport Layer Security (TLS 1.3) protocols. HTTPS is strictly enforced across all application domains. We disable insecure legacy SSL/TLS versions.

- Data at Rest: Database records, user metadata, audit trails, and statement files are encrypted at rest using Advanced Encryption Standard (AES-256) algorithms. Encryption keys are rotated periodically and managed in secure AWS Key Management Service (KMS) hardware vaults.

- Tenant Isolation: PostgreSQL Row-Level Security (RLS) policies filter query parameters at the database level, ensuring that users can only view transaction ledgers belonging to their organization.

- Append-Only Audit Logging: Administrative changes, categorization revisions, and statement exports write unalterable logs to the database, ensuring auditability for courtroom proceedings.

2. Data Processing Addendum (UK GDPR)

AccountScope complies with the UK General Data Protection Regulation (UK GDPR) an Protection Act 2018. We act as a Data Processor on behalf of our client firms (Data Con

- **Processing Boundaries: Personal data is processed solely under the documented inst of the Customer. We do not use client data for our own commercial or marketing purp**
- **Staff Confidentiality: All AccountScope staff members with database access are boun written non-disclosure agreements and undergo security training.**
- **Breach Notifications: In the event of a confirmed security incident or unauthorized acc customer data, AccountScope will notify affected administrators within 72 hours of ve**
- **Subprocessor Evaluation: We only engage subprocessors who comply with UK GDPR stringent technical and organizational security controls. We notify clients of subproce**

3. Technical Architecture & Tenant Isolation

AccountScope utilizes a modern, resilient serverless architecture hosted in secure clou

- **Supabase (Database & Object Storage): Hosts the application relational tables and sta All statements are kept inside isolated storage containers, governed by strict access p**
- **AWS London (eu-west-2): Underlying cloud database and backup storage are hosted l to guarantee UK data residency. No statement data is transferred outside the United K**
- **Vercel Edge Hosting: Serves static layouts and route assets globally. Dynamic endpoi to regional serverless edge functions inside UK & EU boundaries.**
- **OpenAI Enterprise (ZDR): Transaction categorization queries utilize Enterprise API en We have signed Zero-Data-Retention (ZDR) agreements. Your client transactions are p never stored, and never used to train public LLM models.**

4. Business Continuity & Disaster Recovery

Our operations are structured to guarantee resilience and data recovery during infrastru

- **Database Backups: Encrypted snapshots of relational databases are captured automa and stored in write-once-read-many (WORM) AWS S3 buckets across multiple Availabi**

- **Recovery Objectives:**
  - **Target Recovery Point Objective (RPO): Under 24 hours (maximum data loss window**
  - **Target Recovery Time Objective (RTO): Under 4 hours (maximum system restoration**

- **Redundancy: Database instances are configured in Multi-AZ clusters, enabling automa to healthy nodes during severe outages without service interruption.**

- **Disaster Exercises: We perform DR restore testing annually to verify the integrity of ba**

5. Data Retention & Purging Policies

AccountScope implements automated data minimization workflows to reduce complian

- **Original PDF Statements: Raw statement files uploaded by users are automatically an purged from our object storage containers after 30 days. This limits exposure of raw fi**
- **Transaction Records & Reports: Extracted tables, classifications, and generated repo remain stored for the duration of the firm's subscription to support casework reviews.**
- **Hard Purging: Case deletions initiated by client administrators trigger immediate, perm overwriting. Deleted cases are unrecoverable from production systems.**
- **Configurable Retention: Enterprise plans support custom retention rules (e.g., 30, 60, statement purges) to align with internal firm information security policies.**

6. Access Control Systems (RBAC & MFA)

We implement strict access gating to prevent unauthorized operations:

- **Role-Based Access Controls (RBAC): We support six user roles (Admin, Partner, Solic Paralegal, Reviewer, Read-Only) to segment operations. Permissions are enforced at t**
- **Workflow Lock: Cases transitioned to "Review Required" or "Approved" status are loc Any attempts to modify categories or change transaction exclusions return 403 Forbid**
- **Multi-Factor Authentication (MFA): MFA (Time-based One-Time Password - TOTP) is s user accounts and is mandatory for administrative roles.**
- **Staff Auditing: Developer database access requires multi-factor authentication, secure and is restricted to approved support windows.**

7. Approved Subprocessors Register

Register of third-party processors utilized by AccountScope:

Subprocessor Entity	Processing Purpose	Data Location	Security Compliance
Supabase, Inc.	Database & Secure Object Storage	UK London Region (AWS)	SOC 2 Type II, ISO 27001
Amazon Web Services	Cloud Infrastructure & Backups	UK London Region (eu-west-2)	SOC 2 Type II, ISO 27001
Vercel, Inc.	Application Hosting & Routing	UK & Europe	SOC 2 Type II compliant
OpenAI, Inc.	AI Transaction Categorization	Europe / US (Enterprise ZDR API)	SOC 2 Type II, GDPR compliant
Resend, Inc.	Transactional Email Notifications	Europe / US	SOC 2 Type II compliant
PostHog, Inc.	Anonymized Feature Analytics	Europe (No transaction tracing)	GDPR compliant
Stripe Payments	Billing & Subscription Processing	UK & Europe	PCI-DSS Level 1 compliant

8. Vendor Procurement FAQ

Q: Are client statements used to train public AI models?

A: Absolutely not. AccountScope utilizes custom data processing pipelines. All AI queries leverage enterprise endpoints governed by strict zero-data-retention (ZDR) agreements. Your statement records, metadata, and transaction descriptions are never stored, cached, or used for model training.

Q: Where does data residency reside?

A: All relational database tables, statement vaults, and backup stores are located within the AWS London Region (eu-west-2) in the United Kingdom. We do not export statement files across borders.

Q: What happens if a case is missing critical evidence?

A: The platform gates report exports. If critical matrimonial assets (like properties or pensions) lack linked evidence files, the Report Integrity Score™ drops and caps at a maximum of 79%, locking exports until warning flags are resolved.

9. Enterprise SLA & Contact Details

AccountScope supports enterprise partners with dedicated service level agreements (S

- **Platform Availability SLA: We guarantee a 99.9% uptime monthly (excluding maintena**
- **Security Desk Response SLA: Under 4 hours for high-severity issues (breach queries,**
- **Custom Security Audits: We assist risk management teams with SIG/HECVAT security vendor threat assessments, and custom data processing clauses.**
- **Company Office Registration Details:**
  - **Entity: AccountScope (UK)**
  - **Registered Address: 86-90 Paul Street, London, EC2A 4NE, United Kingdom**
  - **ICO Data Protection Registry Number: ZA892104**
  - **Governance: Governed by the laws of England and Wales**
- **Enterprise Procurement Contacts:**
  - **Security Desk Email: security@accountscope.app**
  - **Legal Desk Email: legal@accountscope.app**
  - **Support Desk Email: support@accountscope.app**